

STATE OF NEW YORK
INDUSTRIAL BOARD OF APPEALS

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In the Matter of the Application of: :

PACIFIC UNION FINANCIAL, LLC :

DOCKET NO. CI 10-014

For Approval of a Corporate Instrument Pursuant to :
New York State Labor Law § 104, New York State :
Business Corporation Law § 301 (a) (6), and New York :
State Limited Liability Corporation Law § 204 :

RESOLUTION OF APPROVAL

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WHEREAS:

1. An application having been filed on November 9, 2010, for approval of a proposed Application of Authority for a Foreign Limited Liability Company to Conduct Business in New York State in the above entitled matter; and
2. The Board having made such inquiry into the objectives and purposes of the Limited Liability Company as it has deemed necessary and advisable; and
3. The Board, having given due consideration to all the papers filed herein, makes the following determinations pursuant to the provisions of Labor Law section 104:
 - (a) the stated purposes of the proposed corporation are consistent with public policy and the Labor Law; and
 - (b) the proposed name, "Pacific Union Financial, LLC." is consistent with the corporation's purposes and not misleading; and
 - (c) no further hearing herein is necessary.

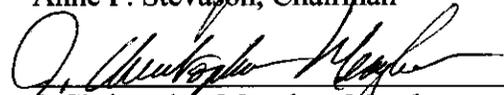
NOW, THEREFORE, IT IS HEREBY RESOLVED THAT

1. The said Application of Authority for a Foreign Limited Liability Company to Conduct Business in New York State is approved; and
2. A certified copy of this Resolution be annexed thereto.

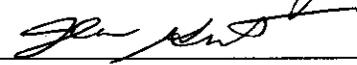
WITNESS, the signatures of the Members of the Industrial Board of Appeals and the Seal of the Industrial Board of Appeals of the State of New York, at New York, New York, on the 18th day of November, 2010.



Anne P. Stevason, Chairman



J. Christopher Meagher, Member



Jean Grumet, Member

Absent

LaMarr J. Jackson, Member

Jeffrey R. Cassidy, Member

Dated and signed in the Office
of the Industrial Board of Appeals
at New York, New York, on
November 18, 2010.

WITNESS, the signatures of the Members of the Industrial Board of Appeals and the Seal of the Industrial Board of Appeals of the State of New York, at New York, New York, on the 18th day of November, 2010.

Anne P. Stevason, Chairman

J. Christopher Meagher, Member

Jean Grumet, Member

Absent

LaMarr J. Jackson, Member



Jeffrey R. Cassidy, Member

Dated and signed in the Office
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